

FINSIA



# PROFESSIONAL REFRESHER PACKAGE

STRENGTHEN YOUR SKILLS | EXPAND  
YOUR KNOWLEDGE | REMAIN COMPETITIVE



**CISI**  
CHARTERED INSTITUTE FOR  
SECURITIES & INVESTMENT

# PROFESSIONAL REFRESHER PACKAGE

MAXIMISE YOUR POTENTIAL WITH A PROFESSIONAL REFRESHER – SHORT, ONLINE COURSES DESIGNED TO HELP YOU STAY RELEVANT WITH THE LATEST KNOWLEDGE AND TOOLS. ENHANCE YOUR EXISTING SKILLS, CONTINUE LEARNING, AND DISPLAY YOUR DEDICATION TO GROWTH AND DEVELOPMENT.



# WHAT IS A PROFESSIONAL REFRESHER?

As part of its commitment to supporting members with lifelong learning opportunities, FINSIA has arranged an exclusive partnership with the UK-based Chartered Institute for Securities & Investment (CISI) to offer a customised professional refresher course package designed to equip FINSIA members in Australia and New Zealand with the knowledge and skills necessary to stay up to date and succeed in today's constantly changing work environment.

Professional Refreshers are an online training solution designed to keep you updated with the latest developments and demonstrate continuing learning. Your exclusive FINSIA package provides access to more than 60 courses, covering topics including Investment Products and Markets to ESG, Ethics &

Integrity, AI & fintech as well Change Management, Presentation Skills and more.

Learn at your own pace and convenience. Engage with interactive modules, video tutorials, case studies, and practical exercises to reinforce your understanding and apply your knowledge effectively. At the end of each module, a self-administered voluntary test allows you to gauge your progress and identify areas where you excel or may need further attention.

Completing a Professional Refresher module will contribute to your CPD points. You will also receive a certificate issued by CISI.

## THE LEARNING EXPERIENCE IS



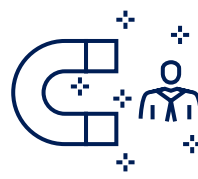
### ONLINE LEARNING

Start anytime from any location at your own pace.



### INTERACTIVE

Stimulating interactive learning experience with a hands-on approach.



### ENGAGING

Access an extensive suite of engaging learning materials.



### TRANSFORMATIVE

Gain access to global knowledge.

# PROFESSIONAL REFRESHER COURSES

## DATA

These courses cover a comprehensive range of topics related to data analytics and its applications in different industries.



COURSE	SUMMARY	HOURS
<b>DATA ANALYTICS</b>	Deep dive into the world of data analytics and its practical applications. Find out what it is, how it's used, and its main types and techniques. Learn how data analytics differs from traditional research methods.	1
<b>DATA LITERACY</b>	Discover the significance of being data literate and gain fundamental tools for understanding our current data-driven world.	1.25
<b>DATA PROTECTION ESSENTIALS</b>	Understand the challenges of data protection and how we can contribute to keeping it secure.	1.25
<b>DATA SCIENCE</b>	Explore the significance of data science in the financial services sector and beyond.	1
<b>DATA: BIG DATA</b>	Learn the impact of big data on various business areas, including asset management, securities trading, payments, consumer credit, insurance, and more. Gain insight into privacy concerns related to big data and the regulations to address them.	1



## ESG

This series of courses provides a comprehensive understanding of various topics related to ethical and sustainable investment.



COURSE	SUMMARY	HOURS
<b>ENVIRONMENTAL, SOCIAL AND GOVERNANCE (ESG) INVESTING</b>	Become familiar with environmental, social and governance (ESG) investing. Learn why it's important, the different ways you can invest, the various screening processes available, corporate social responsibility and faith-based investing. Gain insight into ESG investment indices and the future of ESG.	1.75
<b>ETHICAL AND SUSTAINABLE INVESTMENT</b>	Learn the importance of ethical, sustainable, and responsible investment decision-making and understand that maximising short-term returns is not the only factor to consider when making investment decisions.	2
<b>GREEN BONDS AND ASSET-BACKED SECURITIES</b>	Explore the different types of green bonds and asset-backed securities, including their benefits and potential risks.	2
<b>GREENWASHING</b>	Examine what greenwashing is, why it's a concern and how to identify organisations that engage in this practice. Learn the various ways in which greenwashing can be prevented.	1.75
<b>IMPACT INVESTING</b>	Learn more about impact investing and how it goes beyond traditional investment principles by aiming to create non-financial impacts alongside financial returns.	1.5

## ETHICS AND INTEGRITY

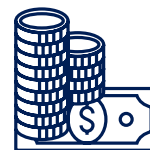
This set of modules provides insights into the important topics of digitalisation and ethics in the context of finance and financial services.



COURSE	SUMMARY	HOURS
<b>DIGITAL ETHICS</b>	Explore the ethical implications of digitalisation and learn about the systems being developed and implemented to provide protection.	1.25
<b>INTEGRITY AND ETHICS</b>	Gain an understanding of ethical behaviour, explore ethics in finance, the importance of trust and trustworthiness and analyse the differences between compliance and ethics.	1.25
<b>INTEGRITY AND ETHICS ESSENTIALS</b>	Learn the key concepts of integrity, ethics and conduct in financial services. Understand why trust and trustworthiness are crucial in the finance industry while analysing the differences between compliance and ethics.	0.75

## FINANCIAL SERVICES BASICS

These courses provide a foundation in financial services basics.



COURSE	SUMMARY	HOURS
<b>MARKET ABUSE ESSENTIALS</b>	Be introduced to market abuse and its different forms and examine some of the practical issues involved in preventing it, including the responsibilities of both firms and individual employees.	<b>0.75</b>
<b>BONDS ESSENTIALS</b>	Be introduced to the world of bonds. Understand the fundamental concepts of bonds and be familiar with the main types of bonds and essential terminology used in the industry.	<b>0.5</b>
<b>INTRODUCTION TO CORPORATE FINANCE</b>	Equip yourself with the range of tools, techniques and related subject matter essential to understanding corporate finance.	<b>2</b>
<b>INTRODUCTION TO FINANCIAL SERVICES</b>	Gain a fundamental understanding of the core concepts and regulations that govern financial services globally, including the various types of financial services that exist, how they are regulated and what the future might hold for them.	<b>2</b>
<b>INVESTMENT PLANNING</b>	Gain a comprehensive understanding of investment planning for clients, including the concepts of risk, asset allocation and classes, charges, investment types, principles and theories. Learn the different ways to invest and use ratios to create a sound investment plan for your clients.	<b>2</b>
<b>SHARES ESSENTIALS</b>	Learn the features, risks, and benefits of owning shares. Discuss the various types of shares available and how they are traded globally.	<b>0.5</b>





## INVESTMENT PRODUCTS AND MARKETS

This series of courses offers a comprehensive understanding of various investment products and markets to help you make informed investment decisions.

COURSE	SUMMARY	HOURS
<b>COMMODITIES AND ENERGY MARKETS</b>	Gain a deeper understanding of energy markets, commodity markets, and commodity derivatives.	1
<b>DERIVATIVES</b>	Understand derivatives and their purpose, underlying assets, trading, and regulatory requirements and developments.	1
<b>EXCHANGE TRADED FUNDS (ETFs)</b>	Explore the definition, background and structure of ETFs and the various types that are available. Know more about the ETF market and possible developments that may impact this investment opportunity.	0.75
<b>FOREIGN EXCHANGE</b>	Be introduced to the foreign exchange market, including key concepts and terms. Learn about important trading techniques and how businesses use foreign exchange to manage risk.	2.25
<b>HEDGE FUNDS</b>	Learn the definition and background of hedge funds. Be familiar with the different types available and understand the risks involved in investing in them.	1
<b>ISLAMIC BANKING</b>	Gain an understanding of Islamic finance. Explore its history, structure, principles, asset management, and products.	1.25
<b>MERGERS AND ACQUISITIONS</b>	Learn about the various types of deals under the umbrella of Mergers and Acquisitions (M&A). Understand the most common types of M&A deals.	1.5
<b>PORTFOLIO STRESS TESTING</b>	Explore the stress testing of a conventional asset portfolio against market risks. Learn the definition and classification of stress testing and practical examples.	1.25
<b>PRICE STABILISATION</b>	Understand what price stabilisation means in the UK, US, and EU. Learn about the different methods used to stabilise prices and how they are applied in each region.	1.5
<b>RESPONSIBLE INVESTMENT - THE TAXONOMY</b>	Explore how the taxonomies work to support responsible investment goals and the various implications and possible challenges that come with their use.	1.75
<b>SECURITIES FINANCING</b>	Examine stock borrowing and lending, including the life cycle of a loan, restrictions, legal ownership, and repurchase agreements. Learn about risk mitigation, prime brokerage, and how market sentiment and perception can impact these activities.	1
<b>STRUCTURED INVESTMENT PRODUCTS</b>	Get an overview of structured investment products (SIPs). Learn about their construction, main features and how they can modify the risk to your capital while still providing equity-type returns.	0.75
<b>TRADE FINANCE</b>	Learn the basic concepts of trade finance in domestic and international contexts. Be introduced to the range of products and services available to small businesses and corporate clients engaged in trade and the industry's risks and trends.	1.25

## RISK AND COMPLIANCE

This collection of courses addresses critical topics related to risk and compliance in the financial industry.



<b>COURSE</b>	<b>SUMMARY</b>	<b>HOURS</b>
<b>BUSINESS PROTECTION</b>	Gain a detailed understanding of key person insurance, shareholder and partnership protection, and how these policies are regulated.	<b>1.25</b>
<b>MANAGING OPERATIONAL RISK</b>	Explore the different types of operational risk and learn how to mitigate them effectively. Examine potential future hazards that businesses may encounter.	<b>1.25</b>
<b>OPERATIONAL RESILIENCE</b>	Be introduced to the concept of operational resilience and its practical implications for firms considering the regulators' developing policies. Explore the relationship between operational resilience and risk management.	<b>1.25</b>
<b>PREVENTION AND DETECTION OF FRAUD AND CORRUPTION</b>	Understand and minimise the risk of fraud or corruption within your organisation.	<b>2</b>
<b>TRANSACTION LAUNDERING</b>	Explain the concept behind transaction laundering and why it poses a problem for governments, enforcement agencies and the financial sector. Learn how transaction laundering differs from other more traditional forms of money laundering.	<b>1</b>

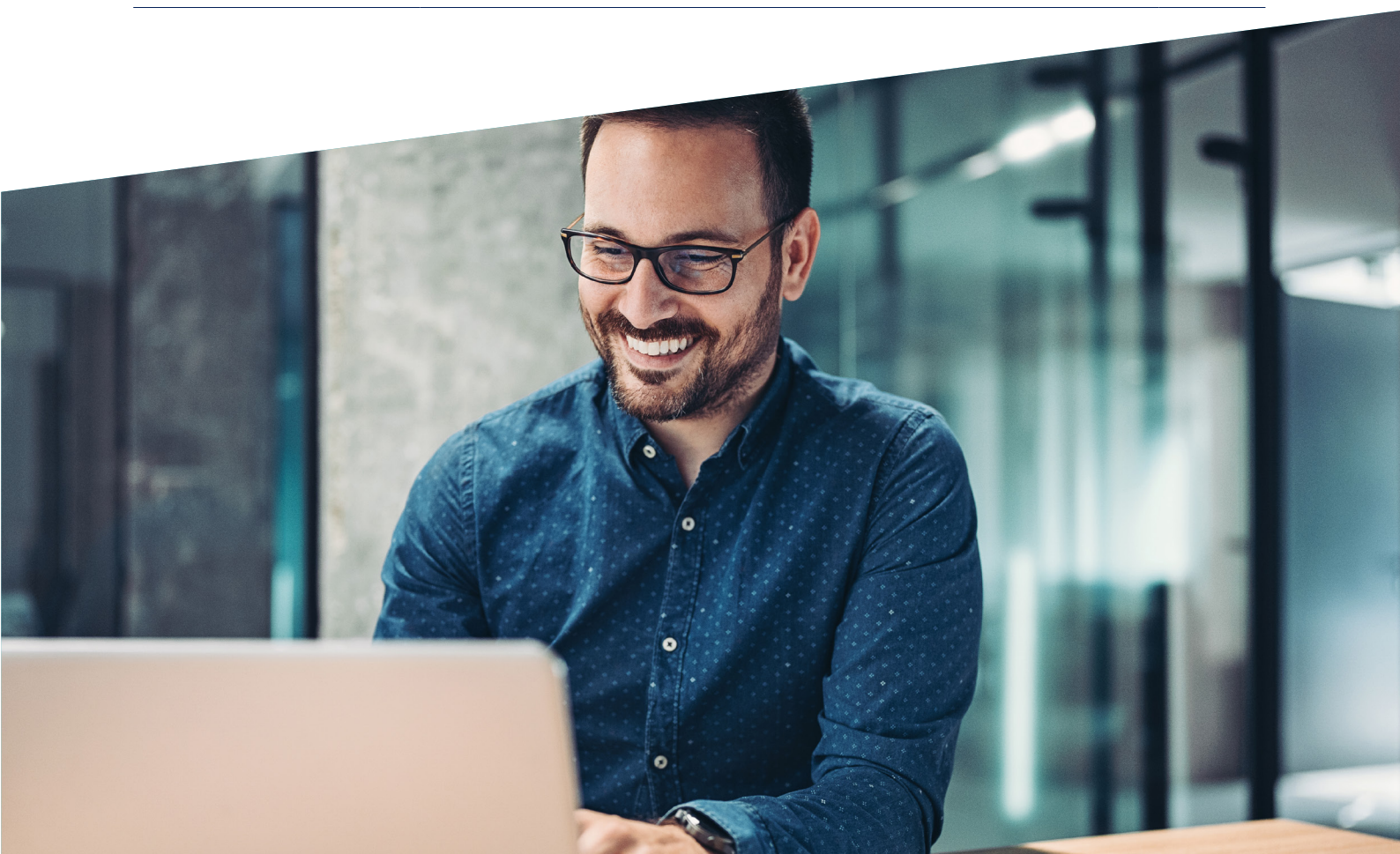




## TECHNOLOGY/AI/FINTECH

These courses offer a comprehensive overview of technology, AI, and Fintech in the modern financial landscape.

COURSE	SUMMARY	HOURS
<b>ARTIFICIAL INTELLIGENCE</b>	Gain valuable insight into the world of AI and develop a deeper understanding of its current and future applications. You will also explore some of the ethical concerns that arise with using AI.	1
<b>BLOCKCHAINS</b>	Learn about the fundamental concepts of blockchains and understand how they work. Identify the value drivers of blockchains and their potential impact on the financial markets.	0.75
<b>CRYPTOCURRENCIES</b>	Dive into the exciting world of cryptocurrencies and uncover what they are, how they can be regulated, and how they are traded. Gain insight into the potential future of cryptocurrencies and their place in the financial markets.	2
<b>CYBERCRIME</b>	Learn about computer fraud, hacking, denial of service attacks and the rapid development of new technologies that come with their security concerns. Explore the wide-ranging definitions and legislation surrounding cybercrime.	1.25
<b>FINTECH</b>	Examine the evolution of the FinTech sector and the challenges it faces in the future. Delve into the industry's current state and learn how to navigate the challenges ahead for this rapidly developing industry.	1.5
<b>MACHINE LEARNING</b>	Understand the basic concepts of Machine Learning and discover how this technology works and applied in various fields. Find out what the future may hold for Machine Learning and its potential impact on society.	1.5



## WELLBEING

These courses focus on personal wellbeing and developing essential life skills.



COURSE	SUMMARY	HOURS
<b>MENTAL HEALTH AND WELLBEING</b>	Explore strategies for taking care of your mental health and factors that can impact your mental well-being. Also, learn how to support others who may be struggling with their mental health.	1
<b>MINDFULNESS</b>	Explore the power of mindfulness, which can help you focus your attention on the present moment and break negative thought patterns.	1.25
<b>NETWORKING</b>	Learn about the most effective networking strategies and how to incorporate them into your daily work routine.	1.5
<b>PUBLIC SPEAKING WITHOUT FEAR</b>	Learn how to improve your public speaking skills to help you speak confidently in front of an audience. Be equipped with effective communication strategies.	1.75
<b>SELF-MANAGEMENT</b>	Explore the importance of self-management, learn effective strategies for organisation and discover ways to improve accountability for yourself and your team.	1.25
<b>SOCIAL AND EMOTIONAL INTELLIGENCE</b>	Be introduced to the basic concepts of social and emotional intelligence, the science behind them, how they can be practised, learned and developed.	1.25
<b>STRESS IN WORKING LIFE</b>	Explore the growing problem of poor mental health in the workplace and the economic consequences it carries. Learn the different organisational interventions to address this issue and examine their effectiveness. Find out how to address stress on an individual level.	1.25



## WORKPLACE

These courses cover various aspects of workplace dynamics and skills development.



COURSE	SUMMARY	HOURS
<b>CHANGE MANAGEMENT</b>	Be equipped with the necessary tools to embrace change and turn it into an advantage. Explore different forms of change and learn how to face them head-on using effective strategies.	<b>2</b>
<b>DIGITAL TRANSFORMATION AND WORKPLACE AGILITY</b>	Find out how to transition your business into a technology-enabled enterprise that manages a flexible, productive and potentially dispersed workforce around the globe.	<b>1.5</b>
<b>DIVERSITY AND INCLUSION</b>	Gain valuable insights on effectively managing and improving recruitment, selection, promotion and disciplinary action within your workforce.	<b>1.5</b>
<b>HYBRID WORKING - THE NEW DIGITAL REVOLUTION</b>	Explore the significant impact of hybrid working on the financial services industry during and after the COVID-19 pandemic. Gain insights into the ongoing implications for employees, clients, and careers and receive practical guidance on thriving as a hybrid worker.	<b>1.25</b>
<b>INFLUENCING TEAMS</b>	Learn about the concept of influence, which involves modifying another person's attitudes or behaviour. Understand the necessary skills and how to apply them effectively in various situations.	<b>0.75</b>
<b>LEADERSHIP IN A DIGITAL WORLD</b>	Explore the background and definition of soft skills and key leadership skills. Learn the various methods of exerting influence and how to effectively apply them to teams and individuals.	<b>1.25</b>
<b>MANAGING A MULTI-GENERATIONAL WORKFORCE</b>	Explore the challenge of managing a multi-generational workforce and learn how to align the diverse skill sets within your team effectively.	<b>1.5</b>
<b>MANAGING CONFLICT AT WORK</b>	Learn about the factors that can lead to conflict in a financial services business, including strategic, human, and operational issues.	<b>0.75</b>
<b>MANAGING PERFORMANCE AND DELIVERY</b>	Understand the importance of setting and developing effective goals and cultivating a coaching culture that aligns behaviours with objectives.	<b>1</b>
<b>PRESENTATION SKILLS</b>	Understand the importance of soft skills and learn how to prepare and structure various presentations.	<b>1.25</b>
<b>PROBLEM SOLVING</b>	Learn the ways to effectively solve problems, whether you are working independently or in a team.	<b>1.25</b>
<b>PROJECT MANAGEMENT</b>	Gain a comprehensive understanding of project management. Learn about the initial stages of a project throughout the implementation cycle and the different roles and responsibilities involved.	<b>1.5</b>
<b>TEAMWORK AND TEAM BUILDING</b>	Examine the significance of teamwork and team building for your organisation and yourself as an individual staff member.	<b>1.25</b>
<b>TECHNOLOGY FOR NON-TECHNOLOGY MANAGERS</b>	Get a basic understanding of key IT elements and cut through the clutter of complex terms and constantly changing products.	<b>2</b>

## BENEFITS FOR COMPANIES

- Provide your employees with the tools, techniques and resources to improve efficiency and productivity.
- Demonstrate your company values of professional growth and continuous development.
- Continuously acquire and refine skills to overcome industry obstacles and remain competitive.
- Contribute to talent retention and enhance employees' professional value.
- Stimulate innovation and creativity within the organisation.
- Stay updated on the latest regulations and industry standards.
- A cost-effective way to provide up-to-date professional development for your staff.

## BENEFITS FOR INDIVIDUALS

- Stay informed about the industry's developments, techniques, and best practices.
- Increase your marketability and improve your chances of career advancement.
- Demonstrate your dedication to keeping your knowledge current.
- Acquire the latest knowledge and tools while remaining adaptable to changing industry requirements.
- An opportunity for personal growth or to learn new topics.
- Cost-effective way to fill gaps in your knowledge or specific areas where you lack expertise.
- No need to complete a full qualification to gain the knowledge you need.



# PROFESSIONAL REFRESHER PACKAGE

For further information or to arrange a call with a FINSIA Relationship Manager, please email [info@finsia.com](mailto:info@finsia.com)

Australia: **1300 346 742**

New Zealand: **09 887 7337**

Visit [finsia.com](https://finsia.com)



**FINSIA**  
**135+ YEARS**  
**IN ACTION**

(02) 9275 7900  
info@finsia.com  
finsia.com

